

07/28/99  
jc688 U.S. PTO

6 / 4ee author  
letter  
E. Millis  
12-14-99

Practitioner's Docket No. GR 98 P 1801 CIP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

jc530 U.S. PTO  
09/363277  
07/28/99

CONTINUATION-IN-PART APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of  
Inventor:

KAI WURSTER et al.

For (title):

TRENCH CAPACITOR WITH AN INSULATION COLLAR AND METHOD FOR PRODUCING  
A TRENCH CAPACITOR

1. Type of Application

This new application is for a

- ☐ Divisional.  
☐ Continuation.  
☒ Continuation-in-part (C-I-P).

2. Benefit of Prior U.S. Application(s) (35 U.S.C. 119(e), 120, or 121)

- ☒ The new application being transmitted claims the benefit of prior U.S. application.  
Enclosed are ADDED PAGES FOR NEW APPLICATION TRANSMITTAL  
WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED.

3. Papers Enclosed

A. Required for Filing Date under 37 C.F.R. 1.53(b)

54 Pages of Specification  
7 Pages of Claims  
17 Sheets of Drawing  
☒ Formal  
☐ Informal

B. Other Papers Enclosed

1 Page of Abstract  
Other

12	1099

4. **Additional Papers Enclosed**

- ☐ Preliminary Amendment
- ☒ Information Disclosure Statement (37 C.F.R. 1.98)
- ☒ Form PTO-1449 (PTO/SB/08A and 08B)
- ☐ Citations
- ☐ Declaration of Biological Deposit
- ☐ Submission of "Sequence Listing," computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence.
- ☐ Authorization of Attorney(s) to Accept and Follow Instructions from Representative
- ☐ Special Comments
- ☐ Other

5. **Declaration or Oath**

- ☒ Enclosed, **unsigned**  
Executed by
  - ☐ inventors.
  - ☐ legal representative of inventor(s). 37 CFR 1.42 or 1.43.
  - ☐ joint inventor or person showing a proprietary interest on behalf of inventor who refused to sign or cannot be reached.
    - ☐ This is the petition required by 37 CFR 1.47 and the statement required by 37 CFR 1.47 is also attached. See item 13 below for fee.
- ☐ Not Enclosed.

6. **Inventorship Statement**

The inventorship for all the claims in this application are:

- ☒ The same.
- or**
- ☐ Not the same. An explanation, including the ownership of the various claims at the time the last claimed invention was made,
  - ☐ is submitted.
  - ☐ will be submitted.

7. **Language**

- ☒ English
- ☐ Non-English
  - ☐ The attached translation includes a statement that the translation is accurate. 37 C.F.R. 1.52(d).

8. **Assignment**

- ☒ An assignment of the invention to SIEMENS AKTIENGESELLSCHAFT
  - ☐ is attached. A separate ☐ "COVER SHEET FOR ASSIGNMENT (DOCUMENT) ACCOMPANYING NEW PATENT APPLICATION" or ☐ FORM PTO 1595 is also attached.

- ☒ will follow.  
☐ was filed in parent application No.

9. **Certified Copy**

Certified copy(ies) of application(s)

EUROPE	98 110 933.3	JUNE 15, 1998
Country	Applic. No.	Filed

from which priority is claimed

- ☐ is (are) attached.  
☐ will follow.  
☒ was filed in parent application No. 09/232,081

10. **Small Entity Statement(s)**

- ☐ Statement(s) that this is a filing by a small entity under 37 CFR 1.9 and 1.27 is (are) attached.

- ☐ Status as a small entity was claimed in prior application \_\_\_\_\_/\_\_\_\_\_, filed on \_\_\_\_\_ from which benefit is being claimed for this application under:

35 U.S.C. § ☐ 119(e),  
☐ 120,  
☐ 121,  
☐ 365(c),

and which status as a small entity is still proper and desired.

- ☐ A copy of the statement in the prior application is included.

Filing Fee Calculation (50% of A, B or C above) \$ \_\_\_\_\_

11. **Fee Payment Being Made at This Time**

- ☐ Not Enclosed

- ☐ No filing fee is to be paid at this time.  
*(This and the surcharge required by 37 C.F.R. 1.16(e) can be paid subsequently.)*

- ☒ Enclosed

☒ Filing fee \$ 778.00

Total Fees Enclosed \$ 778.00

12. **Method of Payment of Fees**

- ☒ Check in the amount of \$ 778.00

☐ Charge Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_.  
A duplicate of this transmittal is attached.

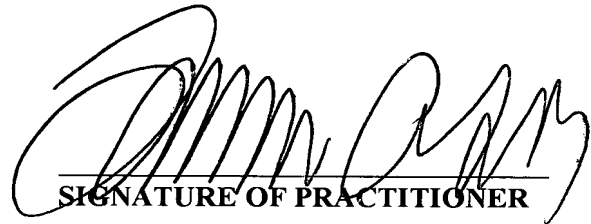
**15. Authorization to Charge Additional Fees**

- ☒ The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to Account No. 12-1099.
- ☒ 37 C.F.R. 1.16(a), (f) or (g) (filing fees)
- ☒ 37 C.F.R. 1.16(b), (c) and (d) (presentation of extra claims)
- ☒ 37 C.F.R. 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)
- ☒ 37 CFR 1.17(a)(1)-(5) (extension fees pursuant to § 1.136(a).
- ☒ 37 C.F.R. 1.17 (application processing fees)
- ☐ 37 C.F.R. 1.18 (issue fee at or before mailing of Notice of Allowance, pursuant to 37 C.F.R. 1.311(b))

**16. Instructions as to Overpayment**

- ☒ Credit Account No. 12-1099.
- ☐ Refund

**LAURENCE A. GREENBERG**  
**REG. NO. 29,308**

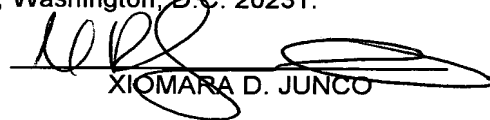
  
SIGNATURE OF PRACTITIONER

Tel. No.: (954) 925-1100  
Fax No.: (954) 925-1101  
/bb

P.O. Box 2480, Hollywood, FL 33022  
P.O. Address

"Express Mail" mailing label number EL080659704US  
Date of Deposit: July 28, 1999

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

  
Xiomara D. JUNCO

**[x] Incorporation by reference of added pages**

*(check the following item if the application in this transmittal claims the benefit of prior U.S. application(s) (including an international application entering the U.S. stage as a continuation, divisional or C-I-P application) and complete and attach the ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED)*

**[x] Plus Added Pages for New Application Transmittal Where Benefit of Prior U.S. Application(s) Claimed**

Number of pages added 1

**[x] Plus Added Pages for Papers Referred to in Item 4 Above**

Number of pages added 4

**[ ] Plus added pages deleting names of inventor(s) named on prior application(s) who is/are no longer inventor(s) of the subject matter claimed in this application.**

Number of pages added \_\_\_\_\_

**[ ] Plus "Assignment Cover Letter Accompanying New Application"**

Number of pages added \_\_\_\_\_

**[ ] Statement Where No Further Pages Added**

*(if no further pages form a part of this Transmittal, then end this Transmittal with this page and check the following item)*

**[ ] This transmittal ends with this page.**